



CPA/CAU 2705
#12765
3/19/01
PATENTS
CF/021 CON CPA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicant : Philip Myron Ginsberg

Application No.: 09/225,537

Filed : January 4, 1999

For : FIXED INCOME PORTFOLIO INDEX
PROCESSOR

Group Art Unit : 2765

Examiner : Geoffrey Akers

RECEIVED

FEB 23 2001

Technology Center 2100

New York, New York 10020
February 9, 2001

Commissioner for Patents
Washington, D.C. 20231

Attention: Box Missing Parts

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

Responsive to the Notice to File Missing Parts
of Application (CPA) mailed August 9, 2000, applicant
hereby submit the following:

1. A copy of the August 9, 2000 Notice to File Missing Parts of Application (CPA);
2. Petition For Extension Of Time Pursuant to 37 C.F.R. § 1.136(a) (in duplicate); and
3. Three (3) Checks in amounts of (i) \$710.00 for filing fee, (ii) \$1,390.00 for four month extension, and (iii) \$130.00 for surcharge.

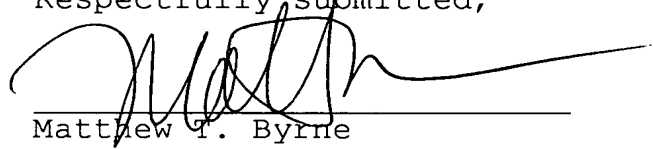
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02 FC:105

130.00 OP

Please credit any overpayment or charge any deficiencies in connection with this Reply to Deposit Account No. 06-1075. A duplicate copy of this paper is enclosed.

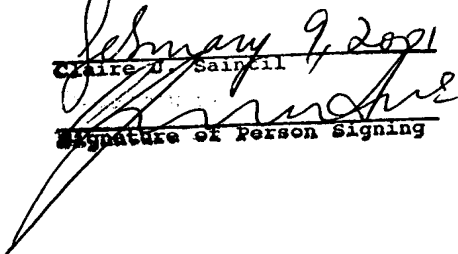
Respectfully submitted,



Matthew T. Byrne
Reg. No. 40,934
Attorney for Applicant
FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020
Tel.: (212) 596-9000

I Hereby Certify that this Correspondence is being Deposited with the U.S. Postal Service as First Class Mail in an Envelope Addressed to: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231 on

February 9, 2021
Claire D. Sainvil


Signature of Person Signing

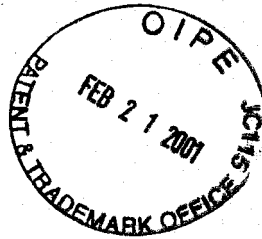


APPLICATION NUMBER FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

09/225537



DATE MAILED:

RECEIVED

FEB 23 2001

Technology Center 2100

NOTICE TO FILE MISSING PARTS OF APPLICATION (CPA)
Filing Date Granted

The Continued Prosecution Application (CPA) request filed on _____ is entitled to a filing date under 37 CFR 1.53(d)(1). The CPA request, however, lacks the filing fee(s) and/or items indicated below.

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the fee(s), item(s), and any surcharge required below to avoid abandonment of this CPA. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The total amount owed by applicant is the sum of items 1(a) or (b), 2, and 3 (if checked) below.

- ☒ 1. The statutory basic filing fee is:
☒ missing.
☐ insufficient.

(a) Applicant must submit \$ 690.00 to complete the basic filing fee and the \$130.00 surcharge set forth in 37 CFR 1.16(e) (non-small entity), or \$ 130.00 to complete the basic filing fee as a small entity and the \$65.00 surcharge set forth in 37 CFR 1.16(e) and file a small entity statement under 37 CFR 1.27 claiming such status (if the prior application was entitled to small entity status and such status is still proper and desired, a new small entity statement is not required (37 CFR 1.28)).

(b) Applicant must submit \$ _____ to complete the basic filing fee as a small entity and the \$65.00 surcharge set forth in 37 CFR 1.16(e).

- ☐ 2. Additional claim fees of
\$ _____ (non-small entity) or \$ _____ (small entity) for _____ independent claims over 3.
\$ _____ (non-small entity) or \$ _____ (small entity) for _____ claims over 20.
\$ _____ (non-small entity) or \$ _____ (small entity) for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☐ 3. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 4. The CPA request is unsigned. Applicant must file a signed duplicate or ratification of the CPA request.
- ☐ 5. Other: _____

A copy of this Notice MUST be returned with the reply.

Direct the reply and any questions about this Notice to:

Ms. Hall

Examining Group 2700

(703) 308-0081